



Conference of the States Parties to the United Nations Convention against Corruption

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Implementation Review Group

Second resumed ninth session

Vienna, 12–14 November 2018

Annotated provisional agenda

Addendum

Annotations

1. Organizational matters

(a) Opening of the session

The second resumed ninth session of the Implementation Review Group will be opened on Monday, 12 November, at 10 a.m., in the Vienna International Centre, Plenary Hall, M-Building.

(b) Adoption of the agenda and organization of work

The agenda for the second resumed ninth session of the Implementation Review Group was adopted by the Group at the first part of its ninth session, held from 4 to 6 June 2018. The proposed organization of work for the second resumed ninth session (see annex) was prepared in line with the guidance provided by the Conference of the States Parties to the United Nations Convention against Corruption and the Group in order to enable consideration of the agenda items within the time and resources available. The proposed organization of work was structured to allow for sufficient time for deliberations on substantive matters and facilitate the participation of relevant experts.

2. Review of implementation of the United Nations Convention against Corruption

First cycle reviews

In accordance with the multi-year workplan for the analytical work of the Group, the second resumed ninth session of the Group will focus on the successes, good practices, challenges, observations and technical assistance needs emanating from the country reviews for chapter III (Criminalization and law enforcement) and chapter IV (International cooperation) of the Convention.

The Group will have before it a conference room paper containing an analysis of good practices, experiences and relevant measures taken by States Parties after the completion of the country reviews under the first implementation review cycle (CAC/COSP/IRG/2018/CRP.13).

The attention of the Group is drawn to the country profiles on the website of the United Nations Office on Drugs and Crime (UNODC), available by following the



heading “Corruption”, then “United Nations Convention against Corruption”. The profiles show country-specific measures taken after the completion of the relevant country review. States parties are encouraged to continue to provide UNODC with such information.

In paragraph 11 of its resolution 6/1, the Conference of the States Parties requested the Group to analyse the information on successes, good practices, challenges, observations and technical assistance needs emanating from the country reviews of the first review cycle, considering the thematic implementation reports prepared in accordance with paragraph 35 of the terms of reference of the Mechanism, and to submit a set of non-binding recommendations and conclusions based on lessons learned regarding the implementation of chapters III and IV of the Convention to the Conference for its consideration and approval at its seventh session. In its decision 7/1, the Conference took note of the note by the Secretariat containing the set of non-binding recommendations and conclusions based on lessons learned regarding the implementation of chapters III and IV of the Convention ([CAC/COSP/2017/5](#)), as reviewed by the Group at its resumed eighth session.

At the resumed eighth session of the Group, it was noted that the note by the Secretariat containing the set of non-binding recommendations and conclusions would be made available at future sessions of the Group to inform further discussion. Accordingly, the Group will have that note before it for its consideration. States parties may wish to come prepared to discuss the note during the second resumed ninth session of the Group. The set of non-binding recommendations and conclusions, which incorporates all the comments received to date, will be made available to the Group in document [CAC/COSP/IRG/2018/9](#).

Documentation

Note by the Secretariat on the set of non-binding recommendations and conclusions based on lessons learned regarding the implementation of chapters III and IV of the Convention ([CAC/COSP/IRG/2018/9](#))

3. Performance of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption

In its decision 5/1, the Conference of the States Parties decided that the Group would collect, with the support of the Secretariat, and discuss relevant information in order to facilitate the assessment of the performance of the Mechanism in accordance with paragraph 48 of the terms of reference, following the completion of the first review cycle. Also in that decision, the Conference decided that the Group was to include a new item on the agenda of its future sessions allowing for the discussion of that information.

In that context, the Secretariat will provide an oral update on progress made in the conduct of the reviews in the first and second review cycles. The update will focus on the responses received to the self-assessment checklist, the direct dialogues conducted, the executive summaries and the country review reports finalized and the country review reports available on the UNODC website.

4. Technical assistance

In its resolution 3/1, the Conference of the States Parties decided that the Group was to be in charge of following up and continuing the work undertaken previously by the Open-ended Intergovernmental Working Group on Technical Assistance.

In its resolution 7/3, the Conference encouraged the Secretariat to enhance dialogue, foster coordination and promote synergies with bilateral and multilateral assistance providers and donors to respond to technical assistance needs of States parties more effectively, including the needs identified through the review process, as well as by encouraging South-South cooperation through regional coordination. In the same resolution, the Conference requested the Secretariat to continue, in close cooperation

with multilateral and bilateral assistance providers, to provide technical assistance to States parties upon request, with a view to advancing the implementation of the Convention. Finally, in the resolution, the Conference reiterated the importance of the Group considering priority areas for the provision of technical assistance, as well as consolidated information on trends in technical assistance required and provided.

In line with the thematic focus of the second resumed ninth session of the Group, the Secretariat will provide information on the technical assistance needs identified and technical assistance provided in relation to the implementation of chapter III (Law enforcement) and chapter IV (International cooperation) of the Convention.

To facilitate the Group's discussion of the matter, a panel will be held on technical assistance in relation to chapters III and IV of the Convention, in line with the thematic focus of the second resumed session of the Group.

Further under this item, States parties are encouraged to provide the Group with information on the current, anticipated and unmet technical assistance needs for the implementation of chapters III and IV, including those identified through the review process.

In its resolution 7/3, the Conference recommended that the Secretariat take into account the priority areas for technical assistance identified during the course of the Implementation Review Mechanism in the development, implementation and, if required, revisions of its thematic, regional and country programmes. In accordance with this recommendation, the secretariat will present specific programmes developed in response to the priority areas identified.

One such area for which priority needs have been identified is the implementation of article 31 of the Convention on freezing, seizure and confiscation. In response, UNODC has published a study entitled *Effective Management and Disposal of Seized and Confiscated Assets 2017*. In its resolution 7/1, the Conference encouraged States parties and UNODC to continue sharing experiences on the management of frozen, seized and confiscated assets, identifying best practices as necessary and building on existing resources, and to consider developing non-binding guidelines on that issue. Accordingly, the Secretariat, based on its study, developed draft non-binding guidelines on the management of frozen, seized and confiscated assets and presented them to the Group at its ninth session and the Working Group on Asset Recovery at its twelfth session (see [CAC/COSP/WG.2/2018/3](#)). Many speakers welcomed the draft guidelines and expressed the view that more time should be allocated to discuss them. Consequently, States parties may wish to come prepared to discuss the draft non-binding guidelines on the management of frozen, seized and confiscated assets and related comments received from States parties. To facilitate the discussions, the Secretariat will also make available to the Group a conference room paper with specific proposals received from States parties to amend the draft guidelines (CAC/COSP/IRG/2018/CRP.14). States parties' comments will be made available in a conference room paper in the form in which they have been received by the Secretariat (CAC/COSP/IRG/2018/CRP.15).

Documentation

Note by the Secretariat transmitting the draft non-binding guidelines on the management of frozen, seized and confiscated assets ([CAC/COSP/IRG/2018/10](#))

5. Financial and budgetary matters

In its resolution 3/1, the Conference underlined that the Implementation Review Mechanism would require a budget that ensured its efficient, continued and impartial functioning. Pursuant to that resolution, the General Assembly, in its resolution [64/237](#), requested the Secretary-General to ensure that the Mechanism was adequately funded.

In its resolution 4/1, the Conference decided that the Group was to assist it in the fulfilment of its responsibility to consider the budget biennially by engaging with the

Secretariat during the intersessional period with regard to expenditures and projected costs related to the Mechanism.

At its second resumed ninth session, the Group will have before it a note by the Secretariat containing budgetary information on expenditures incurred so far for the operation of the Mechanism, resources received at the time of writing, both from the regular budget and from voluntary contributions, projected expenditures for the first and second cycles, effects of cost-saving measures and the current shortfall in resource requirements for the functioning of the Mechanism ([CAC/COSP/IRG/2018/11](#)).

Documentation

Note by the Secretariat on resources and expenditures for the functioning of the Mechanism for the Review of Implementation of the United Nations Convention against Corruption ([CAC/COSP/IRG/2018/11](#))

6. Other matters

The Group may wish to discuss any other matters.

8. Adoption of the report of the Implementation Review Group on its second resumed ninth session

The Group is to adopt a report on its second resumed ninth session, the draft of which will be prepared by the Secretariat.

Annex

Proposed organization of work

<i>Date and time</i>	<i>Item</i>	<i>Title or description</i>
Monday, 12 November		
10 a.m.–1 p.m.	1 (a)	Opening of the session
	1 (b)	Adoption of the agenda and organization of work
	3	Performance of the Mechanism for the Review of Implementation of the Convention
3–6 p.m.	5	Financial and budgetary matters
	2	Review of implementation of the Convention
Tuesday, 13 November		
10 a.m.–1 p.m.	2	Review of implementation of the Convention (<i>continued</i>)
3–6 p.m.	4	Technical assistance
Wednesday, 14 November		
10 a.m.–1 p.m.	4	Technical assistance (<i>continued</i>)
3–6 p.m.	6	Other matters
	8	Adoption of the report of the Implementation Review Group on its second resumed ninth session